

Production of Chitinase by Solid State Fermentation from Rice Bran

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Abstract—Statistics based experimental design on chitinase production by *Serratia marcescens* was optimized in solid state fermentation using Plackett-Burman design and Response surface methodology. The important medium components identified by initial screening method of Plackett-Burman were colloidal chitin, yeast extract, MgSO₄.7H₂O and KH₂PO₄. Plackett-Burman Pareto chart illustrates the order of significance of the variables affecting the cellmass production. Central composite response surface methodology was performed to evaluate the effects of temperature, pH, inoculum size and substrate concentration on production of chitinase by *Serratia marcescens* was studied using rice bran under solid state fermentation. Statistical analysis of results showed that, the linear and quadric terms of these four variables had significant effects and evident interactions existing between pH and substrate concentration were found to contribute to the response at a significant level. Under the conditions of pH (5), Temperature (35°C), inoculum size (1.8%) and substrate concentration (2.5 g/l) in the experiment. The predicted response for chitinase production was 61.12 U/ml

Index Terms—Chitinase, Optimization, Plackett-Burman, Rice bran, *Serratia marcescens*.

I. INTRODUCTION

Chitin, α -1, 4 -linked homopolymer of *N*-acetylglucosamine is the second most abundant polysaccharide in nature. It is insoluble in water, dilute and concentrated alkalis, alcohol and other organic solvents. It forms the major structural component in the shells and cuticles of arthropods, crustaceans and insects and in cell walls of fungi. The major contribution of chitin to nature is in the form of animal biomass. Chitinases, belonging to the family of glycosyl hydrolases [1], are the enzymes responsible for biological conversion of chitin. These enzymes find major applications in the field of agriculture [2], medicine [3], biotechnology [4], food technology, waste management [5] and industry [6]. Studies on optimization of chitinases have been reported earlier with effects of different media ingredients on its production [7]. The concept of response surface methodology (RSM) has eased process development and has been of significant use at industrial level. At a basic biological level, recent studies have indicated the use of RSM for analyzing effects of different factors on enzyme activity [8] and optimization of enzyme production [9]. Solid-state fermentation (SSF) has emerged as an appropriate technology for the management of agro-industrial residues and for their value addition. SSF

is a promising technology for the development of several bioprocesses and products including production of industrial enzymes on large-scale [10]. Different types of substrates, which contain chitin, have been tried for the production of chitinase, which included fungal cell walls, crab and shrimp shells and agricultural residues. The use of *Serratia* sp. in SSF for the production of lytic enzymes such as cellulose and chitinase has tremendous impact for an industrial scale production [11]. This study is an attempt to evaluate the effects of several factors on the production of an industrially important enzyme, chitinase. Screening of medium components was evaluated using Plackett-Burman statistical design and from the optimized nutrient composition for *Serratia marcescens* growth rate, the effect of the temperature, pH, inoculum size and substrate concentration level were studied using Central Composite Design (CCD).

II. MATERIALS AND METHODS

A. Micro-organism and inoculum preparation

A fungal isolate, *S. marcescens* 97 obtained from the IMTECH, Chandigarh was used in the present study. The culture was maintained on Nutrient agar medium and subcultured every thirty days. Slants were incubated for 2 days at 30°C and stored at 4°C. The spores of a fully sporulated slant were dispersed in 10 ml of 0.1% Tween 80 solution by dislodging them with a sterile loop under aseptic conditions. The spore suspension obtained was used as the inoculum. Viable spores present in the suspension were determined by serial dilution followed by plate count.

B. Chitinase Assay

Chitinase activity was determined by a dinitrosalicylic acid (DNS) method [12]. This method works on the concentration of *N*-acetyl glucosamine (NAG), which is released as a result of enzymic action [13], [14]. The 2ml reaction mixture contained 0.5 ml of 0.5% colloidal chitin in phosphate buffer (pH 5.5), 0.5 ml crude enzyme extract and 1ml distilled water. The well vortexed mixture was incubated in a water bath shaker at 50°C for 1 h. The reaction was arrested by the addition of 3ml DNS reagent followed by heating at 100°C for 10 min with 40% Rochelle's salt solution. The coloured solution was centrifuged at 10,000 rotations per minute for 5 min and the absorption of the appropriately diluted test sample was measured at 530 nm using UV spectrophotometer (UV-160 A, Shimadzu, Japan) along with substrate and enzyme blanks. Colloidal chitin was prepared by the modified method of Roberts and Selitrenkoff [15]. One unit (U) of the chitinase activity is defined as the amount of enzyme

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that is required to release 1 μ mol of *N*-acetyl- β -D-glucosamine per minute from 0.5% of dry colloidal chitin solution under assay conditions.

C. Optimization of nutrient supplements

The medium components were evaluated using Plackett-Burman statistical design [16]. This is a fraction of a two-level factorial design and allows the investigation of ' $n-1$ ' variables with at least ' n ' experiments. The main effect was calculated as the difference between the average of measurements made at the high setting (+1) and the average of measurements observed at low setting (-1) of each factor.

This model describes no interaction among factors and it is used to screen and evaluate the important factors that influence enzyme production. The factors that have confidence level above 95% are considered the most significant factors that affect the enzyme production. The main effect of the medium components, regression coefficient, F values and P values of the factors investigated in the present study. Table 2.1 shows selected experimental variables for conducting twelve experimental trials.

TABLE 2.1. VARIABLES TO BE MONITORED IN PLACKETT-BURMAN STATISTICAL DESIGN FOR CELL GROWTH OF *SERRATIA MARCESCENS*

S.No	Medium	High level	Low Level
1.	Peptone	1.0	2.0
2.	Citric acid monohydrate	0.625	1.75
3.	NaCl	0.250	4
4.	MgSO ₄ . 7H ₂ O	0.275	0.5
5.	(NH ₄) ₂ SO ₄	1.0	4.0
6.	Colloidal chitin	10.0	24.0
7.	Yeast Extract	0.5	5.0
8.	KH ₂ PO ₄	0.3	1.4

D. Experimental designs

From the optimized nutrient composition for *Serratia marcescens* growth rate, the effects of the temperature, pH, inoculum size and substrate concentration level were studied using Central Composite Design (CCD) [17]. A Central Composite Design consists of:

- 1) A complete 2^K factorial design, where the factor levels are coded to the usual -1, +1 value. This is called the factorial portion of the design and no center points ($n_0 \geq 1$).
- 2) Two axial points on the axis of the design variable at a distance of $\pm a$ from the design center. This is called the axial portion of the design.

TABLE 2.2 RANGE AND LEVELS OF THE INDEPENDENT VARIABLES SELECTED FOR THE PRODUCTION OF CHITINASE

Parameters	-2	-1	0	1	2
Temperature	25	30	35	40	45
pH	3	4	5	6	7
Inoculum Size	0.6	1.2	1.8	2.4	3.0
Substrate Concentration	0.5	1.0	1.5	2	2.5

The total number of design points is thus equal to, $\alpha = [2^k]^{1/4}$. For this investigation, temperature (X_1), pH (X_2), inoculum size (X_3) and substrate concentration (X_4) are the independent variables in a series of chitinase production experiment.

$$\text{Thus } K = 4 \quad \alpha = 2 \times 4^{1/4} \quad \alpha = 2$$

A CCD with six star points ($a = 2$) and six replicates at the center point (no 6) with a total number of experiments (N), N = 31

III. RESULTS AND DISCUSSION

A. Chitinase activity

Serratia marcescens 97 gave maximum chitinase activity of 60.30 U/ml for rice bran after incubation for 6 days.

B. Screening of important media components

The effect of eight medium components of the fermentation for chitinase production by *Serratia marcescens* was examined using Plackett-Burman statistical design [16]. The main effect of the medium components, regression coefficient, F values and P values of the factors investigated in the present study is illustrated in Table 3.1. On analysis of regression coefficient of eight medium

components peptone, citric acid monohydrate, NaCl, MgSO₄·7H₂O, (NH₄)₂SO₄, colloidal chitin, yeast extract and KH₂PO₄, among these peptone, citric acid monohydrate, NaCl, (NH₄)₂SO₄ showed negative effect biomass production, whereas, colloidal chitin, yeast extract, MgSO₄·7H₂O and KH₂PO₄ showed positive effect in the tested range of concentration. The Pareto chart illustrates the order of significance of the variables affecting the cellmass production. The order of significance as indicated by Pareto chart is colloidal chitin, yeast extract, MgSO₄·7H₂O, KH₂PO₄, (NH₄)₂SO₄, citric acid monohydrate, peptone and NaCl. The significant factors

identified by Plackett-Burman design were considered for the next stage in the medium optimization using response surface optimization technique for the future study. The F-value is the ratio of the mean square due to regression to the mean square due to error and indicates the influence (significance) of each controlled factor on the tested model. The model equation fitted by regression analysis is given by

$$Y = 7.527 + 0.190A - 0.343B - 0.090C + 1.410D - 0.673E + 2.573F - 1.490G + 1.343H \quad (3.1)$$

TABLE 3.1 OBSERVED AND PREDICTED RESPONSES FOR THE EXPERIMENTS PERFORMED USING PLACKETT-BURMAN DESIGN MATRIX TO OPTIMIZE CELL GROWTH OF SERRATIA MARCESCENS

MEDIUM CODE	Peptone -1 A	Citric acid monohydrate B	NaCl C	MgSO ₄ ·7H ₂ O D	(NH ₄) ₂ SO ₄ E	Colloidal chitin F	Yeast G	KH ₂ PO ₄ H
1	+	+	-	+	-	-	-	+
2	+	-	+	+	-	+	-	-
3	-	-	-	-	-	-	-	-
4	+	-	+	-	-	-	+	+
5	-	+	+	+	-	+	+	-
6	+	+	-	-	+	-	+	-
7	+	-	-	-	+	+	+	-
8	-	-	-	+	+	+	-	+
9	-	-	+	+	+	-	+	+
10	-	+	-	-	-	+	+	+
11	-	+	+	-	+	-	-	-
12	+	+	+	-	+	+	-	+

TABLE: 3.2. ANALYSIS OF VARIANCE (ANOVA) FOR THE QUADRATIC MODEL FOR THE BIOMASS PRODUCTION

Model term	Parameter estimate(coefficients)	T	P
Constant	7.527	5.17	0.014
A	0.190	0.13	0.904
B	-0.343	-0.24	0.829
C	-0.090	-0.06	0.955
D	1.410	0.97	0.404
E	-0.673	-0.46	0.675
F	2.573	1.77	0.175
G	-1.490	-1.02	0.381
H	1.343	0.92	0.424

The graphical representations of the regression equation called the surface were obtained using the Minitab 14 software package. The second-degree polynomial regression equation (3.1) was solved by the sequential quadratic programming using MATLAB 7. The optimum values of test variables and the corresponding maximum biomass production (37.2 g/l) in coded units as A =0.1949, B = 0.6378, C= and D = 0.3856, and they were converted to encoded units for the actual values. The model F-value of 29.37, and values of prob > F (<0.05) indicated that the model terms are significant. For biomass production, A, B, C and D was a significant model

C. Optimization of Process Parameters for Chitinase Production using Rice bran as Substrate

In this study, rice bran was used as main substrate under

solid state fermentation. For one thing, the use of purified chitin enhanced the cost of enzyme production and was a major limitation to the economic feasible of bioconversion and utilization of ignocellulosic materials. For another, agricultural residue was not only inexpensive, but also it was abundant and easily available, supplying the microorganism better nutrition. In order to obtain optimum levels of chitinase by *Serratia marcescens* [18]. Optimization of cultivation conditions variables that had a significant impact on chitinase production was necessary. It can be seen from Table 3.3, there was a considerable variation in the chitinase production depending on the four chosen variables. The maximum chitinase production (60.30 U·mL⁻¹) was achieved in run number 4, while the minimum chitinase production (41.70 U·mL⁻¹) was observed in run number 26. The former was much higher than the latter,

which adequately indicated that choosing appropriate cultivation conditions could evidently enhance the yield of chitinase. In order to estimate the error, the centre point in the design was repeatedly carried out for three times.

By applying multiple regression analysis on the experimental data, the following second order polynomial equation was found to explain the chitinase production by only considering the significant terms and was shown in equation 3.2.

$$Y = 53.70 - 1.371A + 2.233B - 0.592C - 1.025D - 0.093A^2 + 0.739B^2 - 2.611C^2 + 0.814D^2 + 3.675AB - 1.462AC + 1.713AD + 0.462BC + 0.312BD + 2.650CD \quad (3.2)$$

Where Y is the chitinase activity (U/ml), Where A = Inoculum Size, B = Temperature, C = pH, and D = Substrate Concentration.

The independent variables were fitted to the second order model equation and examined for the goodness of fit. Several indicators were used to evaluate the adequacy of the fitted model and the results are shown in Table 3.4. The determination coefficient R^2 value, correlation coefficient R^2 value, coefficients of variation (CV) and model significance (F -value) were used to judge the adequacy of the model. R^2 , or coefficient of determination, is the proportion of variation in the response attributed to the model rather than to random error. Joglekar and May (1987) have suggested

for a good fit of a model, R^2 should be at least 80%. The determination coefficient (R^2) implies that the sample variation of 99.59% for chitinase production using sugarcane bagasse as substrate is attributed to the independent variables, and only about 0.4% of the total variation can not be explained by the model. The closer value of R (correlation coefficient) to 1, the better is the correlation between the experimental and predicted values. Here the value of R (0.9979) for Eq. (3.2) being close to 1 indicated a close agreement between the experimental results and the theoretical values predicted by the model equation. The coefficient of variation (CV) is the ratio of the standard error of estimate to the mean value of the observed response, expressed as a percentage. A model can be considered reasonably reproducible if the CV is not greater than 10% (Joglekar and May, 1987). Usually, the higher the value of CV, the lower is the reliability of experiment. Here, a lower value of CV (9.02) indicated a greater reliability of the experiments performed. The model significance (F -value) indicates the level of confidence that the selected model can not be due to experimental error (Henika, 1972). Linear and quadratic terms were significant at the 1% level. Therefore, the quadratic model was selected in this optimization study.

TABLE 3.3. OBSERVED AND PREDICTED RESPONSES FOR THE EXPERIMENTS PERFORMED USING CCD DESIGN FOR RICE BRAN

Run	Inoculum Size	Temp.	pH	Substrate Concentration	Chitinase Production (U/l)	
					Experimental	Predicted
1	1.2	30	4	1	53.90	54.21
2	1.2	40	4	2	48.40	49.43
3	1.2	40	6	2	45.40	44.44
4	1.8	35	5	2.5	60.30	60.95
5	2.4	40	6	2	51.80	52.46
6	1.2	30	6	1	59.70	60.17
7	1.8	35	5	1.5	41.90	45.44
8	1.8	35	5	1.5	42.10	42.07
9	2.4	30	4	1	57.70	58.52
10	1.8	35	5	0.5	45.70	46.40
11	1.2	40	6	1	50.50	49.87
12	2.4	30	4	2	55.70	55.99
13	1.8	35	5	1.5	50.15	50.58
14	1.8	35	5	1.5	53.70	55.54
15	1.2	30	4	2	53.70	53.70
16	1.8	35	5	1.5	53.70	53.70
17	1.8	35	7	1.5	53.70	53.70
18	1.8	35	3	1.5	58.20	56.40
19	2.4	40	4	2	57.50	56.84
20	1.8	35	5	2.5	59.92	61.12
21	2.4	40	6	1	55.70	54.90
22	1.8	35	5	1.5	53.70	53.70
23	2.4	40	4	1	53.70	53.70
24	2.4	30	6	1	53.70	53.70
25	0.6	35	5	1.5	45.50	46.13
26	1.8	45	5	1.5	41.70	39.10
27	2.4	30	6	2	54.40	53.41
28	1.8	45	5	1.5	59.20	59.00
29	1.2	40	4	1	53.70	53.70
30	1.2	30	6	2	57.50	56.07
31	3.0	35	5	1.5	59.90	60.03

The Student T -distribution and the corresponding P -value, along with the parameter estimate, are given in Table 3.5. The P -values are used as a tool to check the significance of each of the coefficients which, in turn, are necessary to understand the pattern of the mutual interactions between

the best variables. The parameter estimates and the corresponding P -values showed that among the independent variables, X_1 (Inoculum Size), X_2 (Temperature), X_3 (pH) and X_4 (Rice bran) had a significant effect on chitinase production. Positive coefficients for X_1 and X_3 indicated a

linear effect to increase chitinase production, while negative coefficient of X_4 (Rice bran) revealed the opposite effect. It was included that X_3 (pH) was the key factor influencing chitinase production, due to its largest t-value among the four variables. The quadric term of these four variables also had a significant effect. As could be seen, evident interactions existed in X_2 and X_3 , but no interactions between the other variable pairs were found to contribute to the response at a significant level, also could be seen from the P values in Table 3.5. So, compared with the traditional 'one- variable- a-time' approach which is unable to detect the frequent interactions occurring between two or more factors although they often do occur, RSM has immeasurable effects and tremendous advantages. From table 3.4. Interactions between the AD, BC and CD should be more significant compare to other interactions. It is evident from the contour plot figure 3.1.a and 3.1.b, Temperature Vs pH and Inoculum Size Vs pH

	6				
Total	30	902.650			

TABLE 3.4. REGRESSION COEFFICIENTS AND THEIR SIGNIFICANCES FROM THE RESULTS OF CENTRAL COMPOSITE EXPERIMENTAL DESIGN FOR CHITINASE PRODUCTION IN SOLID STATE FERMENTATION USING RICE BRAN

Term	Coefficient	S.E Coefficient	T	P
Constant	53.70	0.5664	94.807	0.000
A	-1.371	0.3059	-4.481	0.000
B	2.233	0.3059	7.301	0.000
C	-0.592	0.3059	-1.934	0.071
D	-1.025	0.3059	-3.351	0.004
A*A	-0.093	0.2802	-0.331	0.745
B*B	0.739	0.2802	2.635	0.018
C*C	-2.611	0.2802	-9.319	0.000
D*D	0.814	0.2802	2.903	0.010
A*B	3.675	0.3746	9.809	0.000
A*C	-1.462	0.3746	-3.904	0.001
A*D	1.713	0.3746	4.571	0.000
B*C	0.462	0.3746	1.234	0.235
B*D	0.312	0.3746	-0.834	0.416
C*D	2.650	0.3746	7.073	0.000

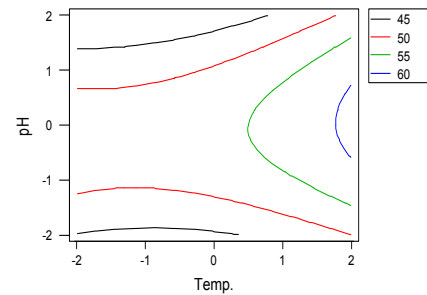


Figure. 3.1.a. Contour plot on chitinase production showing the interactive effects of temperature and pH

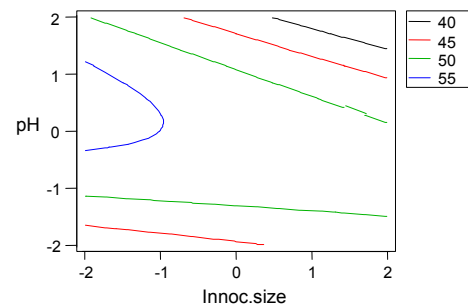


Figure. 3.1.b. Contour plot on chitinase production showing the effect of inoculum size and pH

Three-dimensional response plots and their corresponding contour plots for the chitinase production using rice bran by the above model are shown in Figures 3.2.a and 3.2.b. The contour plots affirm that the objective function is unimodal in nature which shows an optimum in the boundaries. The boundary optimum point was evaluated using gradient method in the direction of steepest ascent. The graphical representation

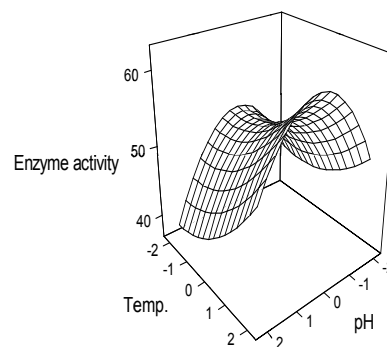


Figure.3.2.a. Three dimensional response plot for chitinase production showing the interactive effects of temperature and pH

TABLE 3.5. ANALYSIS OF VARIANCE (ANOVA) FOR THE QUADRATIC POLYNOMIAL MODEL OF CHITINASE PRODUCTION FOR RICE BRAN AS SUBSTRATE

Source	DF	Seq SS	Adj SS	Adj MS	F	P
Regression	14	866.718	866.718	61.9084	27.57	0.000
Linear	4	198.424	198.424	49.6059	22.09	0.000
Square	4	253.714	253.714	63.4285	28.24	0.000
Interaction	6	414.580	414.580	69.0967	30.77	0.000
Residual Error	16	35.933	35.933	2.2458		
Lack-of-Fit	10	35.933	35.933	3.5933		
Pure Error		0.000	0.000	0.0000		

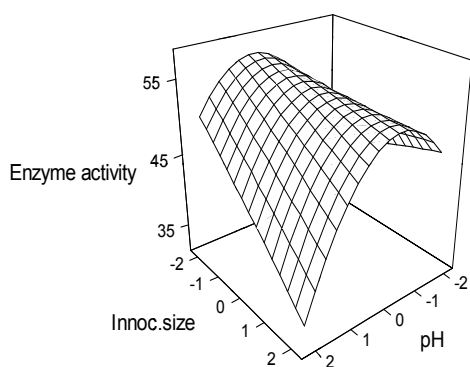


Figure.3.2.b. Three dimensional response plot for chitinase production showing the interactive effects of inoculum size and pH

IV. CONCLUSION

The evaluation of the medium components for chitinase production was done using the Plackett-Burman statistical method. The effect of eight medium components were studied and among them peptone, malt extract, citric acid and urea were found to be the significant variables for cell mass by *Serratia marcescens* as the percentage confidence level was more than 95%. The significant factors identified by Plackett-Burman design are considered for the next stage of the medium optimization using response surface optimization technique for the future study. Response surface methodology was proved to be a powerful tool for optimization of process parameters. Central Composite design was employed to evaluate the effects of temperature, pH, inoculum size and substrate concentration on production of chitinase by *Serratia marcescens*. Using the above optimized nutrient solution, Maximum chitinase activity of 60.30 U mL⁻¹ was obtained in at the pH (5), Temperature (35°C), inoculum size (1.8%) and substrate concentration (2.5 g/l) for Rice bran,. The statistical design of experiment offer efficient methodology to identify the significant variables and to optimize the factors with minimum number of experiments for chitinase production by microorganism. *Serratia marcescens* chitinase is active over a wide range of operating and environmental conditions and hence it is designated as one of the best organism to study the production as well biochemical aspects of chitinase. In short, understanding more about the various chitinolytic enzymes such as the standardizations of suitable process parameters for its production and method of estimation will make them more useful in a variety of process in near future.

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